

AI-2815
Zhu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **GAMO, Yasuo et al.**

Group Art Unit: **2815**

Serial No.: **09/922,786**

Examiner: **N. Drew Richards**

Filed: **August 7, 2001**

P.T.O. Confirmation No.: 8872

For: **SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME**

Attorney Docket No.: 010999

Customer No: **38834**

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.97(d)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

September 20, 2004

Sir:

The attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached PTO/SB/08. One copy of each of these documents is attached. An English language translation of each foreign reference herein is enclosed. Also enclosed is a copy of a German Office Action from a related foreign application.

This Information Disclosure Statement is submitted after the mailing of a final action, a Notice of Allowance, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee. A check is attached hereto which covers the \$ 180.00 fee set forth in 37 CFR § 1.17(p).

The undersigned hereby certifies:

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign

U.S. Application No. 09/922,786
Information Disclosure Statement dated September 20, 2004

application not more than three months prior to the filing of the information disclosure statement and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO/SB/08 is made of record therein and appear on the first page of any patent to issue therefrom.

The Commission is authorized to charge Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully Submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



John P. Kong
Attorney for Applicant
Registration No.: 40,054

JPK:kal
1250 Connecticut Avenue
Suite 700
Washington, D.C. 20036
(202) 822-1100

Q:\2001\010999\Filings\Information Disclosure Statement - September 2004.doc



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1041/PTO

(Use as many sheets as necessary)

Application Number	09/922,786
Filing Date	August 7, 2001
First Named Inventor	Yasuo GAMO et al.
Art Unit	2815
Examiner Name	N. Drew Richards
Attorney Docket Number	010999

Sheet	1	of	2
-------	---	----	---

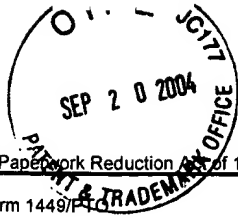
[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
	2	DE 3032364 C3	04-22-1982	Philips ...		
	3	WO 97/41593	11-06-1997	Advanced ...		

Date Considered	
-----------------	--

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Application Number	09/922,786
Filing Date	August 7, 2001
First Named Inventor	Yasuo GAMO et al.
Art Unit	2815
Examiner Name	N. Drew Richards
Attorney Docket Number	010999

Sheet

2

of

2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	4	Office Action from German Patent Office for Application No. 101 46 013.9-33 dated June 22, 2004	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.